

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KAWAGUCHI, et al.

Filed: August 28, 2001

For: LUBRICATING OIL FOR REFRIGERATOR WITH
COMPRESSOR

jc857 U.S. PRO
09/939599
06/28/01

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

August 28, 2001

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with prior applications of the above-identified application, that is, prior application Serial No. 07/502,872, filed April 2, 1990, and prior application Serial No. 07/444,932, filed December 4, 1989.

Since each of Serial No. 07/502,872 and Serial No. 07/444,932 is being relied upon in the above-identified application under 35 USC 120, copies of the listed documents are not enclosed. See 347 CFR 1.98(d).

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified Continuation application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

Further in response to Applicants' duty of disclosure, note that the immediate parent application of the above-identified application, Serial No. 07/502,872, was involved in

each of Interference No. 103,289 and Interference No. 103,291.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 396.28283CP4) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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WIS/slk
Enclosure

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DKT. NO.
396.28283CP4

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

APPLICANT

KAWAGUCHI, et al.

FILING DATE

August 28, 2001

GROUP

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	4,755,316	7-5-88	Magid, et al.			
AB	4,248,726	2-81	Uchinuma, et al.			
AC	4,267,064	5-81	Sasaki, et al.			
AD	4,282,386	8-81	Donate, et al.			
AE	4,454,052	6-84	Shoji, et al.			
AF	4,455,247	6-84	Nakayama, et al.			
AG	4,557,850	12-85	Ando, et al.			
AH	4,948,525	8-90	Sasaki, et al.			
AI						
AJ						
AK						
AL						

J-097/939599
U.S.P.T.O.
08/28/01

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/ Abstract	
						Yes	No
AM	0343662	5-24-89	Europe				
AN	0336171	3-17-89	Europe				
AO	2121818	1-84	UK				
AP	21632	2-84	Japan				
AQ							
AR							
AS							
AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	Derwent File Suplier JAPS, 895
AV	Derwent File Suplier JAPS, 594
AW	
AX	
AY	
AZ	

Examiner	Date Considered